

7-31-00

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Appln. Trans.
PATENT

UTILITY PATENT
APPLICATION
TRANSMITTAL

(Only for new nonprovisional
applications under 37 CFR 1.53(b))

Attorney Docket No. AP32610-072817.0152

First Named Inventor Kazuo J. EZAWA et al.

Express Mail Label No. EK839859582US

Total Pages 45

jc596 U.S. PTO
 09/628315
 07/28/00

July 28, 2000

BY EXPRESS MAIL - Label No. EK839859582US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Kazuo J. Ezawa et al. entitled SYSTEM AND METHOD FOR COMMUNICATING BETWEEN SMART CARDS

which includes:

[X] Specification 20 Total Pages
 [X] Claims 13 Total Pages
 [X] Abstract 1 Total Page(s)
 [X] Drawing(s) 8 Total Sheets
 formal
 X informal

[X] Combined Declaration and Power of Attorney 3 Total Pages

[X] Newly executed (original or copy)

[] Copy from a prior application

(for continuation/divisional only - must be filed to avoid surcharge for late filing)

If a continuing application, check appropriate box:

[] Continuation [] Divisional [] Continuation-In-Part (CIP)
 of prior application No.

[] Amend the specification by inserting, before the first line, the following sentence:

"This is a [] continuation [] divisional [] continuation-in-part
of copending application Serial No. filed ."

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- ☒ An Assignment of the invention to MONDEX INTERNATIONAL LIMITED.
☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
☒ will follow.
☐ has been filed in the prior application
- ☐ Small Entity Statement(s) **ENCLOSED**.
☐ Small Entity Statement filed in prior application. Status still proper and desired.
- ☐ Information Disclosure Statement (IDS) PTO-1449
☐ Copies of IDS Citations.
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other _
- ☐ Cancel in this application original claims _ of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

FOR	(Col. 1) No. Filed			(Col. 2) No. Extra			Small Entity Rate	Fee	OR	Other Than A Small Entity Rate	Fee
Basic Fee											\$690.00
Total Claims	58	-20	=	38	x	9 =			x	18 =	\$684.00
Ind. Claims	6	-3	=	3	x	39 =			x	78 =	\$234.00
Multiple Dependent Claim						+ 230 =				+ 260 =	
Total											<u>\$1,608.00</u>

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

☒ Enclosed

☒ Basic filing fee \$1,608.00

☐ Recording Assignment \$0.00
[\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$1,608.00

☒ A check in the amount of \$1,608.00 to cover filing fee is enclosed.

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PATENT

Attorney Docket No. AP32610-072817.0152

Priority

- ☒ Priority of U.S. Patent Application No. 60/146,103 filed July 28, 2000 is claimed under 35 U.S.C. 119(e).
- ☐ Priority of application Country , Appln. No. filed is claimed under 35 U.S.C. 119.
- ☐ Certified Copy of Priority Document(s) Country , Appln No. , filed .
- ☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N .
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTTS L.L.P.

By



Gary Abelev, Esq.

PTO Registration No. 40,479

Enclosures